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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	3
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Complete if Known

Application Number	10/733,808
Filing Date	December 10, 2003
First Named Inventor	Chris Hopen
Art Unit	2154
Examiner Name	Joshua Joo
Attorney Docket Number	PA4407US

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**Examiner
Signature**

Joshua Lee

Date
Considered

10/10/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

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First Named Inventor	Chris Hopen
Art Unit	2154
Examiner Name	Joshua Joo
Attorney Docket Number	PA4407US

Sheet 2 of 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
33		"SA-1000: An entry-level SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Roaming Internet Access: Easy. Cost-effective. Global," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Managed Directory: Reduce Administration. Enable single sign-on," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"User Management: Simplified administration. Fast user activation," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Aventail EX-1500," SC Magazine Best Buy, Groupt Test VPNs, SC Magazine September 2003.	
33		Aventail, Corporate Overview, 2002 Aventail Corporation.	
33		MICHAEL VIZARD, "Aventail CEO Evan Kaplan explains why infrastructure service providers are emerging as strategic IT partners," Interviews, InfoWorld, June 8, 2001, 2001 InfoWorld Media Group, Inc.	
33		HEATHER B. HAYES, "Security for hire," Professional Edge, InfoWorld, December 10, 2001, Issue 50, 2001 InfoWorld Media Group, Inc.	
33		Awards and Recognition, Aventail, 2003 Aventail Corporation.	
33		"Aventail EX-1500," Product Data Sheet, 2003 Aventail Corporation.	

Examiner Signature	<i>Joshua Joo</i>	Date Considered	10/10/07
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Application Number 10/733,808

Filing Date December 10, 2003

First Named Inventor Chris Hopen

Art Unit 2154

Examiner Name Joshua Joo

Attorney Docket Number PA4407US

Sheet 3

of 3

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JJ		Field Notes, "Outsourcing Can Help," ComputerWorld, July 15, 2002, Vol. 36, No. 29, 2002 Computerworld, Inc.	
JJ		ANN BEDNARZ et al., "The 50 Most Powerful People in Networking," Network World Reprint, October 21, 2002, Vol. 19, No. 42, 2002 Network World, Inc.	
JJ		CHRIS HOPEN, "Face-off: Are SSL-based VPNs a better bet than IPSec VPNs?," Network World Reprint, August 4, 2003, Vol. 20, No. 31, 2003 Network World, Inc.	
JJ		MARK BRADSHAW, "Virtually Complete," European Communications, Reprinted from Summer 2003, www.eurocomms.co.uk.	
JJ		"Aventail Anywhere VPN Module," Aventail Technical Overview, 2002 Aventail Corporation.	
JJ		"SA-900: An enterprise-class SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
JJ		"Aventail ExtraWeb Module," Aventail Technical Overview, 2002 Aventail Corporation.	

Examiner Signature	<i>Joshua Joo</i>	Date Considered	10/10/07
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Sheet

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Filing Date	December 10, 2003
First Named Inventor	Chris Hopen
Art Unit	2154
Examiner Name	Joshua Joo
Attorney Docket Number	PA4407US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
33		US- 6,473,802	10-29-2002	Masters	
33		US- 6,374,300	04-16-2002	Masters	
		US-			
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FOREIGN PATENT DOCUMENTS

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Examiner
Signature

Joshua Joo

Date
Considered

10/10/07

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

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Complete if Known

Application Number

10/733,808

Filing Date

December 10, 2003

First Named Inventor

Chris A. Hopen

Art Unit

TBD

Examiner Name

TBD

Attorney Docket Number

005313.00016

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
33	1	US- 6,138,153	October 24, 2000	Collins III, et al.	
	2	US- 6,052,780	April 18, 2000	Glover	
	3	US- 6,701,437	March 2, 2004	Hoke	
	4	US- 6,850,943	February 1, 2005	Teixeira, et al.	
	5	US- 6,873,988	March 29, 2005	Herrmann, et al.	
	6	US- 6,920,502	July 19, 2005	Araujo, et al.	
	7	US- 2003/0196091	October 16, 2003	Raley, et al.	
	8	US- 2003/0191944	October 9, 2003	Rothrock	
↓	9	US- 2003/0229613	December 11, 2003	Zargham	
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Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
33	10	EP 1308822	May 7, 2003	Asgent Inc.		
33	11	EP 0804012	October 29, 1997	Nokia Mobile Phones, Ltd.		
33	12	WO 99/57866	Novembe r 11, 1999	Auric Web Systems		
33	13	WO 02/099571	Decembe r 12, 2002	Fujitsu Network Communication s		

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Examiner Signature	<i>Joshua Jen</i>	Date Considered	10/10/07
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/733,808
				Filing Date	December 10, 2003
				First Named Inventor	Chris A. Hopen
				Art Unit	
				Examiner Name	
				Attorney Docket Number	005313.00016
Sheet	2	of	2		

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Examiner Signature	<i>Jason Jan</i>	Date Considered	10/10/07
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Application Number	10/733,808
Filing Date	12/10/2003
First Named Inventor	Christopher A. Hopen, et al.
Art Unit	2121
Examiner Name	TBD
Attorney Docket Number	005135.00016

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		Country Code ² - Number ⁴ - Kind Code ⁵ (if known)				
55		WO 02/37799	05/10/2002	The Board of Regents Of The University of Nebraska		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SS		Communication Relating to the International Search Report and The Written Opinion of the International Searching Authority in corresponding PCT application, application number PCT/US2004/041183 dated March 15, 2005	

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